

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
**16031 (ETH-5022)**

In Re Application Of: **Rajesh Pendekanti et al.**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/799,242	March 9, 2004	Michael F. Peffley	23389	3739	1908

Title: **HIGH INTENSITY ABLATION DEVICE**



Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

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10/799,242	March 9, 2004	Michael F. Peffley	23389	3739	1908

Title: **HIGH INTENSITY ABLATION DEVICE**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP  
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- ☐ Charge the amount of \_\_\_\_\_
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- ☒ Charge any additional fee required.
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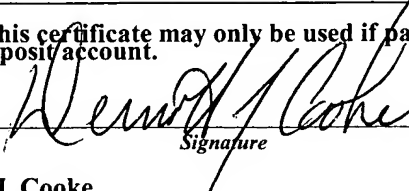
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Dermott J. Cooke

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Dated: **August 4, 2006**

  
Signature  
**Dermott J. Cooke**  
Reg. No. 41,685  
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Garden City, NY 11530  
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DJC:jam

CC:



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants:** Rajesh Pendekanti, et al.

**Examiner:** Michael F. Peffley

**Serial No:** 10/799,242

**Art Unit:** 3739

**Filed:** March 9, 2004

**Docket:** 16031 (ETH-5022)

**For:** HIGH INTENSITY  
ABLATION DEVICE

**Dated:** August 4, 2006

**Conf. No.:** 1908

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. United States Patent No. 4,872,456, issued to Hasson, dated October 10, 1999;
2. United States Patent No. 4,240,431, issued to Komiya, dated December 23, 1980;

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

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Dated: August 4, 2006

  
Dermott J. Cooke

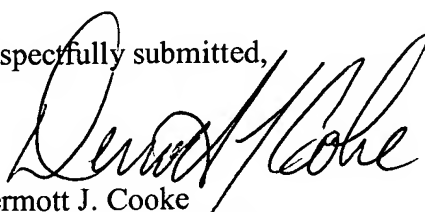
3. United States Patent Application Publication No. 2002/0120263 A1, to Brown et al., dated August 29, 2002;
4. United States Patent Application Publication No. 2003/0216733 A1, to McClurken et al., dated November 20, 2003; and
5. United States Patent No. 5,322,055, issued to Davison et al., dated June 21, 1994.

The above-cited references were identified in the PCT Search Report dated January 17, 2006 of the counterpart PCT application of this U.S. application. In accordance with the waiver of 37 C.F.R. § 1.98 (a)(2)(i) in effect as of June 30, 2003, applicants are not required to submit copies of the above-cited U.S. Patent references. Please note the other reference cited in the Search Report, namely, U.S. Patent No. 5,626,607, issued to Malecki et al., dated May 6, 1997, was previously cited in Applicants' Information Disclosure Statement dated March 9, 2004. A copy of the PCT Search Report is submitted herewith for the Examiner's information and consideration.

The currently pending claims 1-14 are believed patentably distinct over the references identified herein.

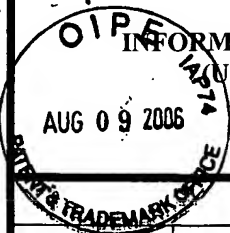
Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,

  
Dermott J. Cooke  
Registration No. 41,685

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 <p><b>INFORMATION DISCLOSURE CITATION</b>          (Use several sheets if necessary)</p>				Docket Number (Optional) <b>16031 (ETH-5022)</b>		Application Number <b>10/799,242</b>		
				Applicant(s) <b>Rajesh Pendekanti et al.</b>				
				Filing Date <b>March 9, 2004</b>		Group Art Unit <b>3739</b>		
<b>U.S. PATENT DOCUMENTS</b>								
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
		4,872,456	10/10/1999	Hasson				
		4,240,431	12/23/1980	Komiya				
		2002/0120263 A1	8/29/2002	Brown et al.				
		2003/0216733 A1	11/20/2003	McClurken et al.				
		5,322,055	6/21/1994	Davison et al.				
<b>FOREIGN PATENT DOCUMENTS</b>								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>								
<b>EXAMINER</b>				<b>DATE CONSIDERED</b>				
<b>EXAMINER:</b> Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								